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Attorney Docket VT-1869

PATENT


IN THE UNITED STATES PATENT & TRADEMARK OFFICE

Application No.: 09/484,799

*I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office, TC 1700, Fax No. (703) 872-9310, on April 4, 2003.*

Filing Date: 01/18/00

Applicant: Barker et al.

  
Michael D. Ross  
Registration No. 45,057

Group Art Unit: 1745

Examiner: Chaney, C.

Title: LITHIUM-BASED ACTIVE  
MATERIALS AND PREPARATION THEREOF

Commissioner for Patents  
Washington, D.C. 20231

FAX RECEIVED  
APR 07 2003  
GROUP 1700

AMENDMENT UNDER 37 C.F.R. §1.116

Dear Sir:

If any charges or fees must be paid in connection with the following communication, they may be paid out of our Deposit Account No. 220100.

In response to the Office Action mailed February 27, 2003 and in furtherance of the personal interview conducted on March 31, 2003, please amend the Application as indicated herein and please consider the accompanying remarks toward reconsideration of the present application and passage to allowance thereof.

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PTO/SB/21 (08-00)

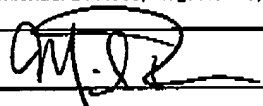
Please type a plus sign (+) inside this box → ☒

Approved for use through 10/31/2002, OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	09/484,799	
	Filing Date	1/18/00	
	First Named Inventor	Barker	
	Group Art Unit	1745	
	Examiner Name	Chaney, C.	
Total Number of Pages in This Submission	16	Attorney Docket Number	VT-1869

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Certificate of Transmission under 37 CFR 1.8.
Remarks		
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual name	Michael D. Ross, Reg. No. 45,057	
Signature		
Date	April 4, 2003	

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: <input type="text"/>			
Typed or printed name	<input type="text"/>		
Signature	<input type="text"/>	Date	<input type="text"/>

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PTO/SB/17 (10-02)

Approved for use through 10/31/2002. OMB 0851-0032

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**FEE TRANSMITTAL  
for FY 2003**☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT (\$36.00)**

Application Number	09/484,799
Filing Date	1/18/00
First Named Inventor	Barker
Examiner Name	Chanmy, C.
Group Art Unit	1745
Attorney Docket No.	VT-1269

**METHOD OF PAYMENT (check all that apply)**

☐ Check ☐ Credit card ☐ Money Order ☐ Other None

☐ Deposit Account  
 Deposit Account Number 220100  
 Deposit Account Name Valence Technology, Inc.

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments

☐ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

**FEE CALCULATION****1. BASE FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 740	2001 370	Utility filing fee	
1002 330	2002 165	Design filing fee	
1003 510	2003 255	Plant filing fee	
1004 740	2004 370	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	

**SUBTOTAL (1) (\$0.00)****2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

	Extra Claims	Fee	Fee Paid
Total Claims	- 20 = 2	x 18.00 =	36.00
Ind. Claims	- 3 =	x	=
Multiple Dependent			=

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 84	2201 42	Independent claims in excess of
1203 280	2203 140	Multiple dependent claim, if not paid
1204 84	2204 42	**Reissue independent claims over original patent
1205 18	2205 9	**Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2) (\$36.00)**

\* or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1051 740	2051 370	Surcharge - late filing fee or oath
1052 330	2052 165	Surcharge - late provisional filing fee or cover sheet
1053 510	1053 255	Plant filing fee
1812 740	1812 370	Reissue filing fee
1804 160	1804 80	Provisional filing fee
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action
1251 110	2251 55	Extension for reply within first month
1252 400	2252 200	Extension for reply within second month
1253 920	2253 460	Extension for reply within third month
1254 1,440	2254 720	Extension for reply within fourth month
1255 1,960	2255 980	Extension for reply within fifth month
1401 320	2401 160	Notice of Appeal
1402 320	2402 160	Filing a brief in support of an appeal
1403 280	2403 140	Request for oral hearing
1451 1,510	1451 1,510	Petition to institute a public use proceeding
1452 110	2452 55	Petition to revive - unavoidable
1453 1,280	2453 640	Petition to revive - unintentional
1501 1,280	2501 640	Utility issue fee (or reissue)
1502 480	2502 230	Design issue fee
1503 620	2503 310	Plant issue fee
1460 130	1460 130	Petitions to the Commissioner
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)
1806 180	1806 180	Submission of Information Disclosure Stmt
8021 40	8021 40	Recording each patent assignment per property (times number of properties)
1809 740	2809 370	Filing a submission after final rejection (37 CFR § 1.129(a))
1810 740	2810 370	For each additional invention to be examined (37 CFR § 1.129(b))
1801 740	2801 370	Request for Continued Examination (RCE)
1802 900	1802 900	Request for expedited examination of a design application

Other fee (specify) ☐

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3) (\$0.00)**

Name (Print/Type)	Michael Ross	Registration No. (Attorney/Agent)	45,057	Telephone	702-558-1071
Signature		Date	4/4/2003		

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